

FOURTH STREET PERFORMANCE PARTNERS INC.
JUNE 24, 2020

Item 1. Introduction.

Fourth Street Performance Partners Inc. (Fourth Street) is registered with the United States Securities and Exchange Commission as an investment adviser. Brokerage and investment advisory services and fees differ and it is important for you to understand the differences. To learn more about investing, investment advisors, and broker dealers or how to research and evaluate firms, you can visit Investor.gov/CRS. On this site you will find free and simply tools to further educate you.

Item 2: What investment services and advice can you provide to me?

Fourth Street provides investment advisory services to retail investors. The principal service we offer is investment consulting services. We tailor our investment consulting services to meet the individual needs of our clients, taking into account your expressed risk and return expectations. We focus on developing a strategic asset allocation to meet your investment needs and we do not offer advice regarding the equity or debt securities of individual companies. We monitor the holdings and performance of your account on an ongoing basis and provide you with quarterly reports regarding the performance and holdings of your account. We provide investment management services on a discretionary and non-discretionary basis pursuant to authority granted to us in your client agreement. When we provide discretionary investment management services, we are authorized to determine which securities are bought and sold, the total amount to be bought and sold, and the costs at which transactions will be effected. When we provide these services on a non-discretionary basis, you make the ultimate decision regarding the purchase or sale of investments. As an independent investment adviser, we do not offer proprietary products as part of our investment consulting services. We do not limit the provision of investment management services to proprietary products. In addition, we generally do not limit the types of investments we utilize for clients, but consistently utilize individual equity securities, mutual funds, exchange traded funds, fixed income securities, and private funds/illiquid investments. We do not impose account minimums for new clients. Regardless, we reserve the right to accept or decline a potential client for any reason in our sole discretion.

For additional information, please refer to our [Form ADV Part 2A Brochure](#), especially [Item 4 Advisory Business](#), [Item 7 Types of Clients](#), [Item 13 Review of Accounts](#), and [Item 16 Investment Discretion](#).

Questions to Ask Us:

Given my financial situation, should I choose an investment advisory service? Why or why not?

How will you choose the investments to recommend to me?

What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

Item 3 (part 1): What fees will I pay?

For investment advisory services we charge an asset based fee or a fixed fee, which is billed quarterly in arrears. For an asset based fee, the more assets that are in a client's advisory account, the more a client will pay in fees. Therefore, we may have an incentive to encourage clients to increase the assets in his or her account. In addition to our fees you will be responsible for other fees and expenses, such as, transaction charges and fees/expenses charged by any custodian of your account, subadvisor, mutual fund, exchange traded fund, separate account manager and any taxes or fees required by federal or state law.

Fourth Street does not have custody of your asset. Accounts are held at a broker dealer or bank custodian on your behalf. Their fees are deducted from your account. Fourth Street does not benefit from these fees. You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. For additional information, see [Item 5 Fees and Compensation](#) of our [Form ADV Part 2A Brochure](#).

Question to Ask Us:

Help me to understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

Item 3 (part 2): What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide to you. As an example, we receive various benefits and services from custodians that we may recommend to you. For additional information, please refer to our [Form ADV Part 2A Brochure](#), specifically [Item 12 Brokerage Practices](#).

Question to Ask Us:

How might your conflicts of interest affect me, and how will you address them?

Item 3 (part 3): How do your financial professionals make money?

Our non-shareholder financial professionals are paid pursuant to a combination of salary and a bonus structure. The bonus structure is based on the amount of revenue earned by the firm through the investment advisory services we provide. Additionally, non-shareholders are eligible to receive a separate bonus that is based on a percentage of the annual revenue earned by the firm on any new clients obtained by the financial professional. Our other financial professionals, the firm shareholders, receive compensation through a combination of salary and profit sharing that is based on the percentage of firm ownership specific to that financial professional. Financial professionals paid pursuant to a bonus structure that encompasses factors such as increase in firm or client account revenue and increases in managed client assets are subject to a conflict of interest. Since the firm charges an asset-based advisory services fee, the more assets you have in your account the more you will pay in fees and, therefore, the firm and the financial professional have an incentive to encourage you to increase the assets in your account.

Item 4: Do you or your financial professionals have a legal or disciplinary history?

No. You can visit Investor.gov/CRS for a free and simple search tool to research our firm and our financial professionals.

Questions to Ask Us:

As a financial professional, do you have any disciplinary history? For what type of conduct?

Item 5: Additional Information.

For additional information about our investment advisory services and to request a copy of our Form CRS, please contact 859-491-5556.

Questions to Ask Us:

Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how a person is treating me?